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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/896,095	06/29/2001	Robert Charles Ladner	LADNER 7L	8870
7590 01/02/2004				
BROWDY AND NEIMARK, P.L.L.C.				
624 Ninth Street, N.W.				
Washington, DC 20001				
EXAMINER				
CELSA, BENNETT M				
ART UNIT		PAPER NUMBER		
1639				

DATE MAILED: 01/02/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

### Application No.

09/896,095

### Applicant(s)

LADNER ET AL.

### Examiner

Bennett Celsa

### Art Unit

1639

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-110 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☐ Claim(s) \_\_\_\_ is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☒ Claim(s) 1-110 are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. §§ 119 and 120

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some \* c) ☐ None of:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
\* See the attached detailed Office action for a list of the certified copies not received.
- 13) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application) since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.  
a) ☐ The translation of the foreign language provisional application has been received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.

### Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

### DETAILED ACTION

Claims 1-110 are currently pending.

#### *Election/Restriction*

1. Restriction to one of the following inventions is required under 35 U.S.C. 121:
  - I. Claims 1-95 drawn to BPTI binding protein analogues(5, 12, 30, 33, 51,55) analogues, classified in class 530, subclass 350+.
  - II. Claims 101-110, drawn to BPTI binding protein analogues(12, 39-42) analogues, classified in class 530, subclass 350+ a method of reducing kallikrein activity to prevent or treat humans/animals using a kallikrein binding protein of Group I, classified in class 530, subclass 350+.
  - III. Claims 96-100, drawn to a methods of inhibiting human neutrophil elastase and therapeutic treatments using BPTI protein analogues of Group I, classified in classes 514 an 436.
2. The inventions are distinct, each from the other because of the following reasons:
3. Inventions I and II are directed to proteins which differ markedly with respect to primary amino acid sequence (e.g. amino acid content, length etc.) as to result in patentably distinct proteins and/or peptides which require different structural and word database searches as well as different subclass searches within Class 530 (e.g. burdensome searches).

4. Inventions I and III are related as product and process of use. The inventions can be shown to be distinct if either or both of the following can be shown: (1) the process for using the product as claimed can be practiced with another materially different product or (2) the product as claimed can be used in a materially different process of using that product (MPEP § 806.05(h)). In the instant case the product as claimed can be used in a materially different process of using that product such as (immunoaffinity) purification. Additionally, the process for using the product as claimed can be practiced with another materially different product, such as the use of antibiotics (E.g. tetracycline) to treat acute pulmonary infections accompanying lung diseases such as emphysema.

5. Inventions II and III are independent and/or patentably distinct inventions since they are drawn to different statutory class of inventions (e.g. compounds v. Methods) which are unrelated to each other (e.g. the methods do not employ the use of the Group II compounds). Additionally, the searches (bibliographic, classification) required for invention II are not required for III; and inventions II and III require different examinations under 35 USC 112 and 102/103.

6. It is noted that in accordance with U.S. practice, upon the allowance of a compound claim within an elected compound invention (e.g. Group I, ), the Examiner will *consider rejoinder of* the corresponding method of use (e.g. Group III) claim which are commensurate in scope to the allowed subject matter pursuant to MPEP 821.04 Rejoinder.

7. Because these inventions are distinct for the reasons given above and have acquired a separate status in the art as shown by:

a. their different classification;

- b. different and separately burdensome: manual/computer structure, classification and/or , bibliographic searches; and/or
- c. separate status in the art because of their recognized divergent subject matter, restriction for examination purposes as indicated is proper.

***ELECTION OF SPECIES ( FOR GROUPS I-III ABOVE)***

8. This application contains claims directed to the following patentably distinct species of the claimed invention: .

BPTI analogues which encompass structurally distinct compounds, which possess different biological activities, different physicochemical properties; are capable of separate isolation or manufacture and/or use to which the scope of these compounds preclude a complete search and/or result in different and separately burdensome manual and/or computer bibliographic, structure and classification searches.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species (**A SINGLE PROTEIN SEQUENCE**) for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable.

Applicant is advised that a reply to this requirement must include an identification of the species that is elected consonant with this requirement, and **a listing of all claims readable thereon**, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include

all the limitations of an allowed generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

Should applicant traverse on the ground that the species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

***Further Election/Restriction (FOR GROUP III only)***

9. This application contains claims directed to Independent and/or patentably methods of treating distinct disease states ( e.g. emphysema, cystic fibrosis etc.)

The claims are drawn to disease states (e.g. emphysema, cystic fibrosis etc.) which are patentably distinct due to possessing different etiologies, requiring different treatments and having different and separately burdensome manual and/or computer bibliographic searches in both patent and literature databases.

**Applicant is required under 35 U.S.C. 121 to elect a single disease state (e.g. emphysema/cystic fibrosis) for prosecution on the merits.**

**Applicant is advised that a reply to this requirement must include an identification of the species that is elected consonant with this requirement, and a listing of all claims readable thereon, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.**

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

Should applicant traverse on the ground that the species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a petition under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

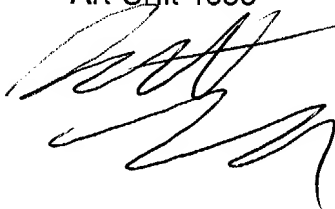
### CONCLUSION

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Bennett Celsa whose telephone number is 703-305-7556. The examiner can normally be reached on 8-5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Wang can be reached on 703-306-3217. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0196.

Bennett Celsa  
Primary Examiner  
Art Unit 1639



BC